

1130 U.S. PTO  
07/30/01

07/31/01

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Practitioner's Docket No. 815\_001

PATENT

NEW APPLICATION TRANSMITTAL

Box Patent Application  
Assistant Commissioner for Patents  
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on *July 30, 2001* under "EXPRESS MAIL" mailing label number **EL803164141US**.

Gina M. Husak

*Gina M. Husak*

1130 U.S. PTO  
09/18/27  
07/30/01

Transmitted herewith for filing is the patent application of:

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Title: PIEZOELECTRIC/ELECTROSTRICTIVE ELEMENT AND  
PIEZOELECTRIC/ELECTROSTRICTIVE DEVICE AND PRODUCTION  
METHOD THEREOF

1. Papers enclosed

A. Required (in JAPANESE) for filing date under 37 CFR 1.53(b) (Regular) are:

35 Page(s) of Specification  
6 Page(s) of Claims  
1 Page(s) of Abstract  
26 Sheets of Formal Drawings (Figs. 1-39)

B. Other Papers Enclosed

- ☒ A Patent Application Bibliographic Data
- ☐ A Preliminary Amendment
- ☒ A combined Declaration and Power of Attorney
- ☒ An Information Disclosure Statement
- ☒ PTO Form-1449
- ☒ An Assignment Transmittal and Assignment of the invention to  
NGK Insulators, Ltd.

2. The correspondence address for this application is:

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Customer No.: 025191

3. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

- ☐ Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.
- ☐ Applicants hereby request that the attached application **not** be published under 35 U.S.C. 122(b).

4. Small Entity Status

- ☐ Applicant(s) claim(s) small entity status. See 37 CFR § 1.27.

5. The filing fee has been calculated as shown below:

- A. Filing Fee  
☒ Original Patent Application \$ 710.00  
(37 C.F.R. 1.16(a) --\$710.00; Small Entity--\$355.00)
- B. Fees for Claims (26 Claims; 6 Indep.)  
☒ each independent claim in excess of 3 \$ 240.00  
(37 C.F.R. 1.16(b) --\$80.00; small entity--\$40.00)
- ☒ each claim in excess of 20 \$ 108.00  
(37 C.F.R. 1.16(c) --\$18.00; small entity--\$9.00)
- ☐ multiple dependent claim(s) \$ .00  
(37 C.F.R. 1.16(d) --\$270.00; small entity--\$135.00)
- C. Surcharge fees  
☐ late payment of filing fee \$ .00  
(37 C.F.R. 1.16(e) --\$130.00; small entity--\$65.00)
- and/or
- ☐ late filing of original declaration or oath \$ .00  
(37 C.F.R. 1.16(e) --\$130.00; small entity--\$65.00)
- NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid 37 C.F.R. 1.16(e).
- D. ☒ Fee for processing an application filed with a specification in a non-English language \$ 130.00  
(37 C.F.R. 1.17(k) and 1.52(d) --\$130.00)
- E. ☒ Assignment Fee \$ 40.00

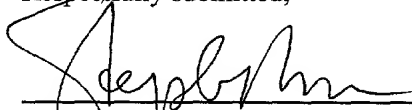
**Total Fees Due \$ 1,228.00**

100220-4281600

**6. Payment of Fees and Authorization to Charge Additional Fees  
or Credit Overpayment**

- ☒ A check in the amount of \$1,228.00 is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
\_\_\_\_\_  
Stephen P. Burr  
Reg. No. 32,970

July 30, 2001

Date

SPB/eav

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